Patent

Case No.: 56056US002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

LIU, JOE

Application No.:

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Group Art Unit: --

Filed:

Herewith

Examiner:

Unknown

Title:

MULTILAYER IR REFLECTING FILM WITH HIGH AND

SMOOTH TRANSMISSION IN VISIBLE WAVELENGTH REGION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

7/3/ Date

Signed by: EV 3246/3883645

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner.

It is respectfully requested that the Examiner initial and return the enclosed Forms PTO-1449 to indicate that each reference has been considered.

References listed on page 2 of the attached Form PTO-1449 were cited in the PCT Search report; therefore, copies are not enclosed. Copies of references listed on page 1 of the attached Form PTO-1449 are enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

June 27, 2003

Date

By: Diopher C. Jensen Log D

Stephen C. Jensen, Reg. No.: 35,207 Telephone No.: (651) 736-3369

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitut for f rm 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 2

Applicati n Number	
Filing Date	Herewith
First Named Invent r	Liu, J
Art Unit	
Examiner Name	
Attorney Case Number	56056US002

	U.S. Patent Documents								
Exam.	Cite No.	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant				
Init.*		Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear				
	A1	US- 5,882,774	3/16/99	Jonza et al.					
	A2	US- 5,360,659	11/01/94	Arends					
	А3	US- 5,103,337	4/07/92	Schrenk et al.					
	A4	US- 5,773,102	6/30/98	Rehfelfd					
	A5	US- 6,352,761	3/05/02	Hebrink et al.					
	A6	US- 6,498,683	11/22/99	Condo et al.					
	A7	US- USSN 09/591,584	6/9/00	Peter T. Dietz					
	A8	US- USSN 09/590,924	6/09/00	Liu et al.					
	A9	US-							
	A10	US-							

	Foreign Patent Documents							
Exam. Init.*	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation	
	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)	
	B1	wo	WO 99/36810	7/22/99				
	B2	wo	WO 98/26927	6/25/98				
	В3	wo	WO 97/01440	1/16/97				
	B4	wo	WO 99/36262	7/22/99				
	B5	WO	WO 99/36248	7/22/99				
	B6							

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	C1				
	C2				
	С3				

*Exam	niner:

Date C nsidered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitut f r f rm 1449A/PTO (modified)

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Page 2 of 2

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	No.	Doc. Number-(Kind Code if Known)			Relevant Passages or Relevant Figures Appear			
	A1	US- 4,854,670	8/08/89	Mellor				
	A2	US- 4,793,669	12/27/88	Perilloux				
-	А3	US- 6,049,419	4/11/00	Wheatley et al.				
	A4	US-						
	A5	US-						
	A6	US-						
	A7	US-						
	A8	US-						

	Foreign Patent Documents						
Exam.	Cite	For	eign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
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	B1						
	B2						
	В3					***************************************	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				
	C1	T. Eisenhammer et al., "Optimization of interference filters with genetic algorithms applied to silver-based heat mirrors," Applied Optics, Vol. 32, No. 31, 1 November 1993, pp. 6310-6314.				
	C2					
	СЗ					

'Examiner:	Dat	С	nsider

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